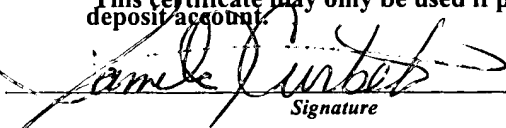


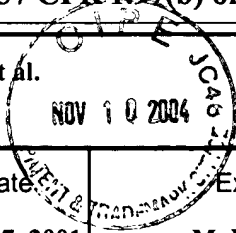
1745 ✓

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. PES-0048	
In Re Application: Dristy et al.						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
09/965,675	September 27, 2001	M. Ruthkosky	23462	1745	2890	
Title: APPARATUS AND METHOD FOR MAINTAINING COMPRESSION OF THE ACTIVE AREA IN AN ELECTROCHEMICAL CELL						
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))						
<input type="checkbox"/> A check in the amount of _____ is attached.						
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.						
<input checked="" type="checkbox"/> Charge the amount of \$180.00						
<input checked="" type="checkbox"/> Credit any overpayment.						
<input checked="" type="checkbox"/> Charge any additional fee required.						
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.						
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
Certificate of Transmission by Facsimile*				Certificate of Mailing by First Class Mail		
<div style="border: 1px solid black; padding: 5px;">I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____).</div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">(Date) _____</div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Signature _____</div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Typed or Printed Name of Person Signing Certificate _____</div>				<div style="border: 1px solid black; padding: 5px;">I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____.</div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">November 8, 2004</div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">(Date) _____</div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Signature of Person Mailing Correspondence _____</div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Sonia Mancini</div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Typed or Printed Name of Person Mailing Certificate _____</div>		
<div style="display: flex; justify-content: space-between;"><div style="width: 45%;">*This certificate may only be used if paying by deposit account.  Signature Pamela J. Curbelo Registration No. 34,676 Customer No. 23462 (860) 286-2929</div><div style="width: 45%; text-align: right;">Dated: November 8, 2004</div></div>						
CC:						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
PES-0048

In Re Application Of: Dristy et al.



Application No. 09/965,675	Filing Date September 27, 2001	Examiner M. Ruthkosky	Customer No. 23462	Group Art Unit 1745	Confirmation No. 2890
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Title: APPARATUS AND METHOD FOR MAINTAINING COMPRESSION OF THE ACTIVE AREA IN
AN ELECTROCHEMICAL CELL

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

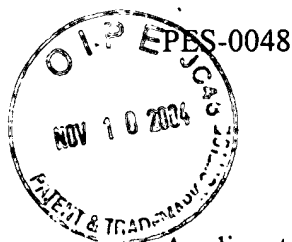
37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark E. Dristy, et al.)
Serial No.: 09/965,675) Group Art Unit: 1745
Filed: September 27, 2001) Examiner: M. Ruthkosky
For: APPARATUS AND METHOD FOR)
MAINTAINING COMPRESSION)
OF THE ACTIVE AREA IN AN)
ELECTROCHEMICAL CELL)

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware.

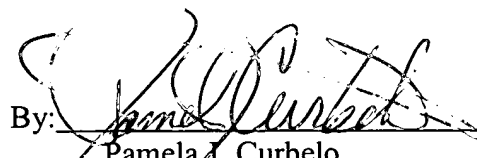
The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,


CANTOR COLBURN LLP

11/10/2004 HVUONG1 00000190 061130 09965675

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By: 
Pamela J. Curbelo
Registration No. 34,676

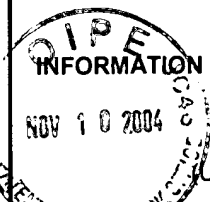
Date: November 8, 2004
Customer No.: 23462
Telephone: (860) 286-2929

 <p>PTO-1449</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><u>LIST OF ITEMS</u></p> <p>(Use several sheets if necessary)</p>	Attorney's Docket Number	Serial Number
	PES-0048	09/965,675
	Name of Applicant	
	Dristy et al.	
	Filing Date	Group
	September 27, 2001	1745

OTHER INFORMATION (Including Author, Title, Date, Pertinent)

	Clark et al., "Prolonged Service Life, Integral Economics", ISSN: 0039-4912; Sulzer Tech. Rev. 77, (2), pp 30-33, 1995, Abstract Only, 1 page
	Graves et al., "The Electrochemistry of Magneli Phase Titanium Oxide Ceramic Electrodes. I. The Deposition and Properties of Metal Coatings", ISSN: 0021-891X, Journal of Applied Electrochemistry, 21, (10), October 1991, pp 848-857, Abstract Only, 1 page
	Harnsberger et al., "A New Fluoride Resistant Ceramic Electrode for Electrochemical Effluent Treatment Processes", ISSN: 0360-3164; plating and Surface Finishing, 77, (7), July 1990, pp 40-42, Abstract Only, 1 page
	Clarke et al., "Temperature Dependence of the X-Ray and Neutron Diffraction from a Metallic Glass", ISSN: 0038-1098, Solid State Commun., 36, (9), December 1980, pp 751-755, Abstract Only, 1 page
	Clark et al., "Remetalling by High-Velocity Oxy-Fuel Spraying Gives Longevity and Rentability to Mechanical Parts", ISSN: 0040-1250, Technique Moderne, Volume 87, No. 3-4, 1995, pp 31-33, Abstract Only, 1 page
	Clark et al., " Search for Sup 3 H, Sub 3 He, and Sub 4 He in D Sub 2 -loaded Titanium", ISSN: 0748-1896, Fusion Technology (United States), Vol 21, No. 2, pp 170-175, Abstract Only, 1 page
	Zhang et al., "Nitride Film Deposition by Femtosecond and Nanosecond Laser Ablation in Low-Pressure Nitrogen Discharge Gas", ISSN: 0169-4332, Applied Surface Science, v 154, 2000, pp 165-171, Abstract Only, 1 page
	Smith et al., "Electrodes Based on Magneli Phase Titanium Oxides: The Properties and Applications of Ebonex Materials", ISSN: 0021-891X, Journal of Applied Electrochemistry, v 28, n 10, October 1998, pp 1021-1033, Abstract Only, 1 page
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	Graves et al., "Electrochemistry of Magneli Phase Titanium Oxide Ceramic Electrodes. Part II. Ozone Generation at Ebonex and Ebonex/lead Dioxide Anodes", ISSN: 0021-891X, Journal of Applied Electrochemistry, v 22, n 3, March 1992, pp 200-203, Abstract Only, 1 page
	Clarke et al., " Search for SUP3H, SUP3He, and SUP4He in DSUB2-loaded Titanium", ISSN: 0748-1896, Fusion Technology, v 21, n 2, pt 1, March 1992, pp 170-175, Abstract Only, 1 page
	European Patent, Publication No. 00443230/EP A1, Publication Date: 1991-08-28, Abstract Only, 1 page
	European Patent, Publication No. 00443229/EP A1, Publication Date: 1991-08-28, Abstract Only, 1 page
	European Patent, Publication No. 00438839/EP A1, Publication Date: 1991-07-31, Abstract Only, 1 page

EXAMINER	DATE CONSIDERED
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PTO-1449  INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary)	Attorney's Docket Number	Serial Number
	PES-0048	09/965,675
	Name of Applicant	
	Dristy et al.	
	Filing Date	Group
	September 27, 2001	1745

OTHER INFORMATION (Including Author, Title, Date, Pertinent)	
	European Patent, Publication No. 00369732/EP A1, Publication Date: 1990-05-23, Abstract Only, 1 page
	European Patent, Publication No. 00360942/EP A1, Publication Date: 1990-04-04, Abstract Only, 1 page
	European Patent, Publication No. 00332337/EP A1, Publication Date: 1989-09-13, Abstract Only, 1 page
	European Patent, Publication No. 00572559/EP B1, Publication Date: 1997-05-21, Exemplary Claims, 1 page
	European Patent, Publication No. 00369732/EP B1, Publication Date: 1995-08-16, Exemplary Claims, 1 page
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	US Patent Number 4,902,427, Date Issued: 1990-02-20, Abstract, 1 page
	International Patent, Publication No. 00149613 WO, Publication Date: 2001-07-12, Abstract Only, 1 page
	International Search Report; International Application No. PCT/US01/30207; International Filing date: 27 September 2001; 7 pgs
	International Search Report; International Application No. PCT/US01/30251; International Filing Date: 27 September 2001; 7 pgs

FOREIGN PATENT DOCUMENTS						
Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO	
WO 00/39362	06.07.00	International				X

EXAMINER	DATE CONSIDERED
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